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# Halide-Driven Bandgap Engineering and SLME-Based Photovoltaic Performance of $Ba_3PX_3$ Compounds: A First-Principles Study

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**ABSTRACT:** In the present work,  $Ba_3PX_3$  ( $X = F, Cl, Br, I$ ) all-inorganic and lead-free halide compositions have been studied as possible replacements for hybrid perovskites using first-principles calculations. All the considered materials were found to exhibit direct band gaps at the  $\Gamma$ -point, decreasing from 2.37 eV ( $Ba_3PF_3$ ) to 1.48 eV ( $Ba_3PI_3$ ). The optical calculations reveal strong absorption in the visible and near-UV regions, with the static dielectric constants ranging from 2.75 to 4.35 in the halide series. All the compounds are mechanically stable and have tuneable ductility and stiffness properties. Lattice stability is confirmed by thermodynamic analysis in broad temperature ranges (0–900 K) and pressure ranges (0–10 GPa). The spectroscopic limit maximum efficiency (SLME), which is a theoretical screening parameter that represents an upper limit, has a value of 39.17% at 300 K for an absorber thickness of 1  $\mu m$ , comparable to practical thin-film photovoltaic architectures. The findings identify strong trends in the stability of structures, optoelectronic properties, and photovoltaic characteristics within the  $Ba_3PX_3$  family and rank  $Ba_3PBr_3$  and  $Ba_3PI_3$  among the most promising lead-free photovoltaic absorbers.

**KEYWORDS:** Bandgap engineering; optical and dielectric response; elastic and thermodynamic stability; spectroscopic limited maximum efficiency; high-efficiency solar absorbers

## 1 Introduction

As the world population continues to increase steadily and industrialization progresses, energy requirements are increasing at a high rate. The conventional sources of energy are limited in nature. In addition, their rate of formation is much lower than their consumption rate. Therefore, to address the rising energy demands sustainably, there is a need to use renewable energy sources. One of the pressing challenges is the growing demand for sophisticated photovoltaic (PV) cells, optoelectronic devices, and electronic gadgets, especially with the rising energy deficits induced by population explosion [1–3]. Hybrid (organic-inorganic) perovskite solar cells (PSCs) have received a significant amount of attention in both the device fabrication and performance optimization owing to their high charge carrier mobility, low density of traps, low exciton binding energy, good optical absorption, and long carrier lifetimes [4–6].

Nevertheless, to achieve environmentally sustainable PSCs, it is imperative to create compositions that are both non-toxic and environmentally friendly. Lead-free perovskites are among them, and they have gained increased attention owing to their environmental safety and potential applications in the solar and thermoelectric fields [7–10]. Currently, one of the most frequent issues in the field of perovskite solar cells (PSC) is reproducibility, as the performance of the device is usually different when the materials are changed or applied, or when different methods of fabrication are used [11,12]. In addition, mass-scale production is a major challenge, especially in the quest to achieve perovskite solar panels that are economical, high-performing, and competent in producing a substantial amount of power. Despite being characterized by excellent light absorption, long charge-carrier lifetimes, high mobility, low trap densities, and reduced exciton binding energies, hybrid PSCs are very vulnerable in terms of volatility and thermal stability; hence, they cannot be widely commercialized with organic cations [13–15].

All-inorganic, lead-free halide perovskites have received growing interest because they reduce the toxicity concerns of Pb-based hybrid perovskites and do not suffer from the thermal or moisture instability of organic cations.  $A_3BX_3$ -type compounds, in which A is an alkaline earth metal, B is a pnictogen (including P, As, or Sb), and X is a halide (including F, Cl, Br, or I), have also become options in this regard [16]. The  $Ba_3PX_3$  (X = F, Cl, Br, and I) family of compounds has received specific interest because they are structurally stable, chemically non-toxic, and can be easily tuned in terms of their electronic and optical properties.

First-principles DFT was applied by Haque et al. [17] to demonstrate that the direct band gaps of  $Ba_3SbX_3$  (X = F, Cl) compounds under ambient atmospheric pressure (0.9 eV) are tremendous and decline sharply under hydrostatic pressure (to 0.04–0.05 eV); however, mechanical stability is not compromised, and the optical response is enhanced, which demonstrates their great promise in pressure-tuneable optoelectronic devices. In the last few years, solar cells based on perovskite have recorded impressive gains in power conversion efficiency (PCE), rising from 2.9% to above 26.7% [18]. Moreover, it has been demonstrated that the incorporation of host lattices can improve the crystal structure and photovoltaic performance by introducing multiple electron transport layers [19–21].

It is due to the large ionic radius of  $Ba^{2+}$ , which promotes structural stability and enables substitution for halide ions, leading to modulation of the band gap, which is required for further conversion of solar energy and for photonic applications. Early first-principles density functional theory (DFT) analyses indicate that  $Ba_3PX_3$  compounds have semiconducting band gaps, strong mechanical strength, and distinctive optical absorption features, especially in the visible and near-ultraviolet regions [22]. The impact of Cu-based Back Surface Field (BSF) layers on the performance of PSCs made of  $Ba_3PCL_3$  is examined, as well as methods to improve their efficiency. BSF, which is CuSnSe (Copper Tin Selenide), is a good converter of power with a maximum theoretical power conversion efficiency of 31.18%. Moreover, earlier findings [23] indicate that  $Ba_3MX_3$  (M = P, Sb; X = F, Cl) compounds have a direct bandgap, are strong optical absorbers, and are high photoconductors. The compounds were observed as mechanically stable according to the Born criteria and thermally stable over a broad temperature range, which predicts their use in photovoltaic and optoelectronic applications. Several first-principles and device-oriented investigations have recently been conducted on  $Ba_3PX_3$ -based perovskites, focusing on selective compositions, electronic bandgap properties, and optimizing performance by utilizing transport-layer and back-surface-field engineering. For example, earlier studies have reported band structures, optical absorption spectra, and device-level efficiencies for individual  $Ba_3PX_3$  compounds, especially  $Ba_3PCL_3$  and  $Ba_3PI_3$ , under a variety of photovoltaic conditions.

Nevertheless, these studies fail to systematically determine how the replacement of halides throughout the entire  $Ba_3PX_3$  (X = F, Cl, Br, I) series controls the intrinsic changes in structural stability, elastic response, thermodynamic behavior, optical properties, and spectroscopically limited photovoltaic efficiency within a single computational framework. Furthermore, photovoltaic screening quantities, even realistic ones, such

as the thickness- and temperature-dependent SLME proportional to first-principles optical spectra, have not consistently been linked with mechanical and thermodynamic stability tendencies. Here, the current study offers a property-level (consolidated) study of  $\text{Ba}_3\text{PX}_3$  compounds and explicitly shows the correlation between halide chemistry and structure-property-performance correlation, which incorporates the design of lead-free photovoltaic absorbers.

## 2 Computational Details

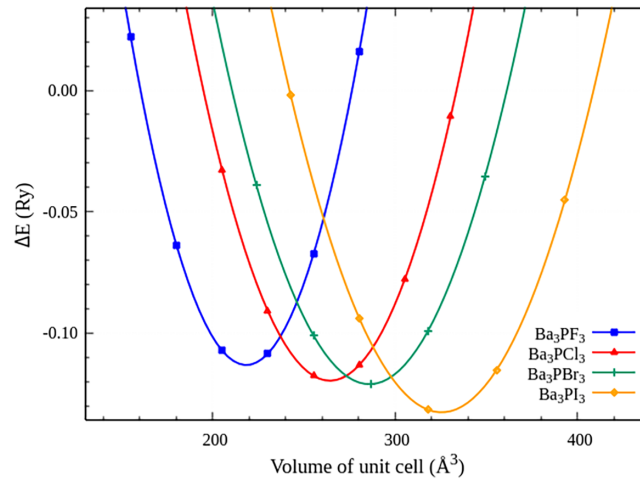
This study investigated the structural, electronic, and optical behaviour of  $\text{Ba}_3\text{PX}_3$  ( $X = \text{F, Cl, Br, I}$ ) family of halide compositions through “Full-Potential Linearized Augmented Plane Wave + local orbitals” (FP-LAPW + lo) technique using the WIEN2k simulation code [24]. Geometry optimization and ground-state characteristics were evaluated within the framework of the Perdew-Burke-Ernzerhof Generalized Gradient Approximation (PBE-GGA) [25] functional. For each atom, the muffin-tin radii ( $R_{\text{MT}}$ ) were chosen based on the corresponding atomic radius, where the plane-wave cutoff parameter was determined as  $R_{\text{MT}} \times K_{\text{max}} = 7.5$ . Structural optimization and electronic characteristics calculations were executed by employing a Monkhorst-Pack k-point mesh of  $10 \times 10 \times 10$ . In order to confirm that the results are reliable, convergence tests were conducted with respect to k-point sampling and basis set size. It was found that for k-point sampling, increasing to greater than a  $10 \times 10 \times 10$  k-point mesh showed very little additional variation in total energy ( $<1$  meV/atom) and the band gap ( $<0.01$  eV). The maximum value of the angular momentum expansion inside the atomic spheres was taken up to  $l_{\text{max}} = 10$ , the maximum modulus for reciprocal lattice vectors was set to  $G_{\text{max}} = 12$  a.u.<sup>-1</sup>, and a cutoff energy of  $-6.0$  eV was applied to separate the core and valence states. Self-consistent field (SCF) iterations were continued until the total energy satisfied a convergence criterion of 0.0001 Ry and the charge density satisfied 0.001 e. Structural relaxations were performed to obtain equilibrium lattice parameters associated with the minimum total energy of each halide compound. After optimising structures, they were further used to compute the electronic band structures, total and partial density of states (DOS), and optical characteristics. For an accurate band gap determination, the Tran-Blaha modified Becke-Johnson (TB-mBJ) [26] potential was used along with PBE-GGA. TB-mBJ produced band gaps that are similar to experimental and hybrid functional (like HSE06) calculations in many semiconductors [27,28]. While hybrid functionals have been shown to produce more accurate results but their high computational cost makes them less suitable for performing multi-property investigations. Therefore, TB-mBJ provides a method that is both accurate and efficient for characterizing the electronic characteristics of the systems evaluated in the present investigations. For computing the optical properties, a denser  $20 \times 20 \times 20$  k-point grid was adopted to capture the fine features in the spectra. Test calculations confirmed that increasing the value of k-point would result in very little difference in any optical spectrum calculation. Lattice parameter variations may affect the absolute density of k-points slightly, but using a uniform k-point grid for all compositions will provide an accurate comparison of optical trends. The ELAST package was used for evaluating elastic constants within the WIEN2k simulation code. The thermodynamic properties were calculated by utilising the Gibbs2 [29] program, evaluating at temperatures from 0 to 900 K and pressures from 0 to 10 GPa. The SLME code [30] was used to calculate the efficiency of the solar cells for varying thicknesses of each layer. The spin-orbit coupling (SOC) effects were not involved in the present study because the focus of this study is on comparative halide-dependent trends, which are not expected to be qualitatively altered by SOC. However, SOC may influence absolute band-gap values, particularly for the iodide compound, although the comparative trends across the series remain unaffected. Similar approaches have been adopted in previous first-principles studies of halide perovskites where trend analysis is the primary objective [31,32]. Spin polarized calculations were conducted for each of the compositions to determine the magnetic ground state of the different structural geometries and the calculations all converged to a non-magnetic solution with little to no spin splitting, indicating that there is no intrinsic magnetism

due to the closed shell electronic configurations of the cation  $\text{Ba}^{2+}$ , the anion phosphide  $\text{P}^{3-}$ , and the halogen ions. Therefore, subsequent analysis used non-spin polarized calculations. Where possible, the calculated structural, electronic and mechanical parameters are compared to already reported theoretical and experimental values to assess the accuracy and reliability of the current method of calculation.

### 3 Results and Discussion

#### 3.1 Structural Properties

$\text{Ba}_3\text{PX}_3$  are metal halide compositions that crystallize in the cubic Pm-3m space group. Each formula unit contains three Ba atoms, one P atom, and three halide atoms X (F, Cl, Br, or I).  $\text{Ba}^{2+}$  cations occupy the 3c Wyckoff sites (0.5, 0, 0) near the cube corners,  $\text{P}^{3-}$  anions sit at the 1a Wyckoff site (0, 0, 0) at the center of the cube, and halide  $\text{X}^-$  anions reside at the 3d Wyckoff sites (0, 0.5, 0.5) on the faces, forming corner-sharing  $\text{PBa}_6$  and  $\text{PX}_6$  octahedra. To ensure the lowest ground energy and highest structural stability, the equilibrium lattice constant was optimized to yield the most stable structure (Fig. 1). The specific values of the optimized structural properties are shown in Table 1, such as the unit cell volume (in  $\text{\AA}^3$ ), equilibrium lattice constant  $a_0$  (in  $\text{\AA}$ ), and ground state energy (in eV), all obtained from the PBE functional. The data from this table can be used to see that as the size of the halide anion grows (F, Cl, Br, or I), the lattice constants also increase. This trend is in good agreement with the fact that I has a larger ionic radius than F. In addition, the ground-state energy of  $\text{Ba}_3\text{PX}_3$  (X = F, Cl, Br, I) decreases with increasing anion size, indicating that  $\text{Ba}_3\text{PI}_3$  has the most stable configuration among all the compositions investigated.



**Figure 1:** Volume-energy optimization curves for  $\text{Ba}_3\text{PX}_3$  (X = F, Cl, Br, I) materials.

**Table 1:** Lattice constant ( $a_0$ ), bulk modulus ( $B_0$ ), first pressure derivative of bulk modulus ( $B_0'$ ), and ground state energy ( $E_0$ ) of the  $\text{Ba}_3\text{PX}_3$  (X = F, Cl, Br, I).

Compounds	$a_0$ ( $\text{\AA}$ )	B (GPa)	$B_0'$	$E_0$ (eV)
$\text{Ba}_3\text{PF}_3$	6.021, 5.90 <sup>a</sup>	31.985	3.589	-50,120.673
$\text{Ba}_3\text{PBr}_3$	6.415, 6.44 <sup>a</sup> , 6.47 <sup>c</sup>	28.574, 28.73 <sup>c</sup>	5.033, 4.46 <sup>c</sup>	-52,290.540
$\text{Ba}_3\text{PBr}_3$	6.586, 6.61 <sup>a</sup>	26.399	4.506	-65,161.319
$\text{Ba}_3\text{PI}_3$	6.869, 6.88 <sup>a</sup> , 6.76 <sup>b</sup>	23.166	4.661	-92,235.682

Note: <sup>a</sup>Reference [22]; <sup>b</sup>Reference [33]; <sup>c</sup>Reference [34].

The Bulk Modulus ( $B_0$ ) quantifies resistance to hydrostatic compression and decreases from 31.985 GPa ( $Ba_3PF_3$ ) to 23.166 GPa ( $Ba_3PI_3$ ). These lower  $B_0$  values illustrate the reverse trend of lattice expansion and indicate that as the atomic size of the halogen increases, the corresponding compounds become softer and more compressible. The observed decrease of  $B_0$  points to a decrease in the average strength of inter-atomic bonding because the Ba–X and P–X bond lengths become longer in heavier halide systems. The positive First Pressure Derivative of Bulk Modulus ( $B_0'$ ) values illustrate that with the application of pressure, the materials under consideration will become less compressible, reflecting an increase in resistance to compression. This behaviour is consistent with the overall mechanical stability of the compounds. The Ground State Energy ( $E_0$ ) values become more negative from  $-50,120.673$  eV ( $Ba_3PF_3$ ) to  $-92,235.682$  eV ( $Ba_3PI_3$ ), showing that there is a greater number of electrons and a greater total amount of binding energy for the heavier halide compound systems. The consistently negative values for the  $E_0$  confirm the presence of thermodynamic stability for all of the investigated phase equilibrium points. The computed lattice parameters and their trend are in good agreement with the previously reported studies [22,33,34], which confirms the reliability of the adopted computational methodology.

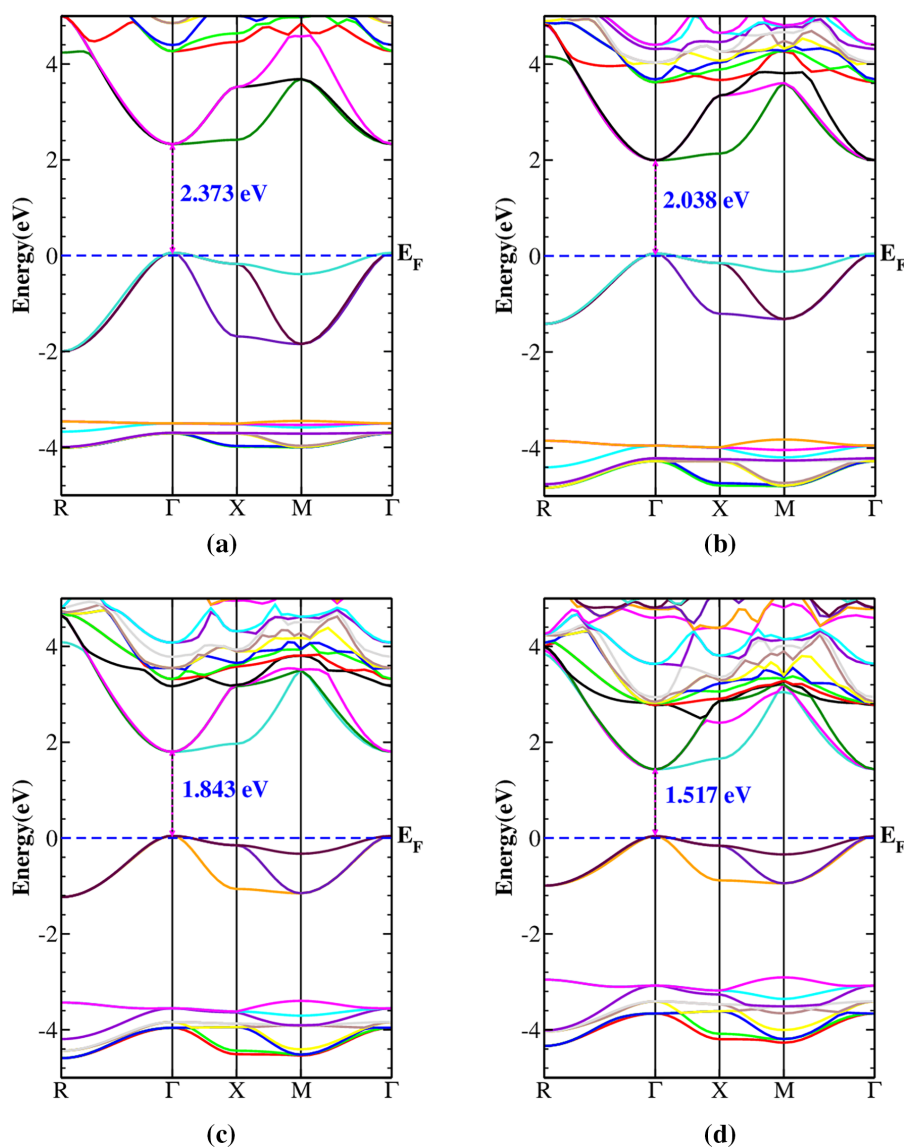
### 3.2 Electronic Properties

To realize the electronic behaviour of the compositions considered and their uses in different areas, we calculated their electronic band structures using PBE functional along the high-symmetry directions R- $\Gamma$ -X-M- $\Gamma$ , with the Fermi level ( $E_F$ ) set to 0 eV in the Brillouin zone. The calculated band-gap values obtained using the PBE functional, as shown in Table 2, are in good agreement with previously reported theoretical results [22,33,35], with minor variations arise from differences in computational parameters, structural optimization conditions, and methodological choices across different studies. To address the problem of the consistent underestimation of the bandgap by the traditional PBE functional [26] and achieve more accurate electronic bandgap values, the modified Becke-Johnson (mBJ) potential was used. The modified bandstructures have been plotted and presented in Fig. 2. These bandstructures clearly demonstrate that the VBM and CBM of all the compounds are at the  $\Gamma$ -point, indicating a direct relationship between the structure and bandgap. The calculated PBE+mBJ bandgaps (Table 2) show a systematic decrease from 2.373 eV ( $Ba_3PF_3$ ) to 1.480 eV ( $Ba_3PI_3$ ). As the halide changes from F to I, a reduction in the bandgap occurs because the covalent nature of the Ba-X and P-X bonds is more pronounced. It decreases the energy difference between VBM & CBM.

**Table 2:** Bandgap and effective mass of  $Ba_3PX_3$  (X = F, Cl, Br, I) compounds.

Compound	Bandgap (eV)		Effective Mass	
	PBE	PBE+mBJ	$m_e^*/m_0$	$m_h^*/m_0$
$Ba_3PF_3$	1.184, 0.94 <sup>a</sup> , 0.655 <sup>b</sup>	2.373	0.686	0.956
$Ba_3PCL_3$	1.149, 0.997 <sup>b</sup>	2.038	0.561	0.785
$Ba_3PBr_3$	1.099, 0.954 <sup>b</sup>	1.843	0.634	0.698
$Ba_3PI_3$	0.932, 0.797 <sup>b</sup> , 0.842 <sup>c</sup>	1.480	0.587	0.896

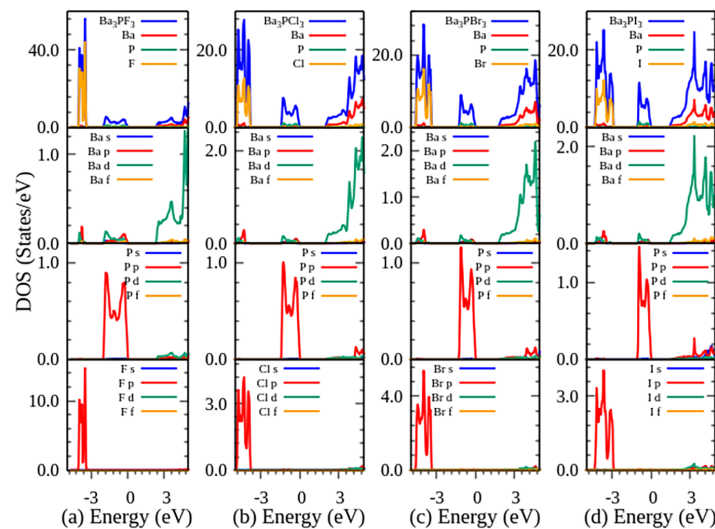
Note: <sup>a</sup>Reference [35]; <sup>b</sup>Reference [22]; <sup>c</sup>Reference [33].



**Figure 2:** Bandstructure plot of (a)  $\text{Ba}_3\text{PF}_3$ , (b)  $\text{Ba}_3\text{PCl}_3$ , (c)  $\text{Ba}_3\text{PBr}_3$ , and (d)  $\text{Ba}_3\text{PI}_3$ .

The systematic decrease in the band gap from  $\text{Ba}_3\text{PF}_3$  to  $\text{Ba}_3\text{PI}_3$  can be explained by halide p-orbital energetics, electronegativity, and orbital overlap. The energy of the halide p orbitals decreased with a variation in the halogen from F to I because of the reduction in effective nuclear charge and principal quantum number. Simultaneously, the electronegativity of the halogen decreases, limiting the ionic nature of the P-X and Ba-X bonds and enhancing the covalent interaction. This decrease in electronegativity enhances the spatial overlap between the halide p and phosphorus p states, resulting in an increase in the maxima of the valence band. Consequently, the energy gap between the valence and conduction bands narrows as the series progresses. Additional evidence of the enlarged orbital overlap is that the bandwidth and dispersion are higher in the valence bands of Br- and I-based compounds, which confirms that halide substitution is a dominant factor in the electronic structure tuning of  $\text{Ba}_3\text{PX}_3$  materials.

Fig. 3a–d shows the total and partial density of states (TDOS and PDOS) for the lead-free all-inorganic compounds  $\text{Ba}_3\text{PF}_3$ ,  $\text{Ba}_3\text{PCl}_3$ ,  $\text{Ba}_3\text{PBr}_3$ , and  $\text{Ba}_3\text{PI}_3$ , calculated via first-principles DFT. The DOS plots span an energy range of  $-5$  to  $+5$  eV with respect to the Fermi level ( $E_F = 0$  eV), highlighting the valence band and the conduction band. Each of these compounds has a clear forbidden band around the Fermi energy, confirming their semiconducting nature. The valence band maxima are dominated by P-p states, with smaller participation from Ba-p/d and X-p states. This hybridization evolves systematically across the series, reflecting changes in P–X bonding character and halide p-orbital contributions. The evolution of hybridization is reflected in the band structures by the flattening of the valence bands for heavier halides, particularly in  $\text{Ba}_3\text{PI}_3$ . As the halogen atom changes from F to I, the crystal field and bonding environment around the Ba-P framework are modified, leading to a gradual upward shift of the Ba-P-dominated valence band edge, which is responsible for the progressive reduction of the band gap across the series. The CBM primarily arises from Ba-d states, with minor contributions from P-p orbitals. It indicates ionic Ba-X interactions and covalent P-X bonding, with the conduction band edge showing relatively similar dispersion across all four compounds, indicating that the conduction band position is only weakly affected by halogen substitution. The dominance of Ba-d orbitals near the CBM also accounts for the comparatively strong dispersion of the conduction bands, suggesting relatively moderate electron effective masses.



**Figure 3:** Total and partial DoS plots of (a)  $\text{Ba}_3\text{PF}_3$ , (b)  $\text{Ba}_3\text{PCl}_3$ , (c)  $\text{Ba}_3\text{PBr}_3$ , and (d)  $\text{Ba}_3\text{PI}_3$ .

As a measure of carrier transport, the effective masses of the electrons ( $m_e^*$ ) and holes ( $m_h^*$ ) were determined at the  $\Gamma$ -point and are provided in Table 2. For the studied materials, the electron effective mass lies in the range  $0.561$ – $0.686 m_0$  and hole effective mass in the range  $0.698$ – $0.956 m_0$ . The non-monotonic variation is a result of the interplay between halide orbital and band hybridization. The effective mass of electrons is relatively low in the case of  $\text{Ba}_3\text{PCl}_3$  and  $\text{Ba}_3\text{PI}_3$ , which implies that these elements have good electron transport, whereas  $\text{Ba}_3\text{PBr}_3$  exhibits comparatively lower hole effective mass, suggesting improved hole mobility. Generally,  $m_h^*$  is greater than  $m_e^*$  of all compounds, and this means that they transport electrons more efficiently. These moderate values of effective mass justify the prospective application of  $\text{Ba}_3\text{PX}_3$  compounds in optoelectronic and photovoltaic processes.

### 3.3 Optical Properties

This section provides a thorough analysis of the various optical characteristics of  $\text{Ba}_3\text{PX}_3$ , such as dielectric function, absorption spectra, optical conductivity, energy-loss function, reflectivity & refractive index over an energy range of 0–13 eV. The aim is to understand how these compounds behave when exposed to solar or other high energy sources. Information contained in optical spectra can be utilized to extract information about the induced polarization capacity and internal structure of the materials. For example, their bond types and band structure, as well as empty states can all be determined from an analysis of the material's optical spectra [36]. All optical properties were computed using the electronic structure obtained through the TB-mBJ potential to make them consistent with the better band-gap determination.

A material's optical properties can be described using the complex dielectric function, which is defined as [37]:

$$\varepsilon(\omega) = \varepsilon_1(\omega) + i\varepsilon_2(\omega) \quad (1)$$

where,  $\omega$  is the angular frequency of the electromagnetic (EM) radiation incident on the material. The real part of the complex dielectric function,  $\varepsilon_1(\omega)$ , describes electronic polarization & anomalous dispersion, and the imaginary part,  $\varepsilon_2(\omega)$ , describes the optical absorption of the compounds. The imaginary part of the complex dielectric function,  $\varepsilon_2(\omega)$ , can be expressed in terms of  $\varepsilon_1(\omega)$  [37]:

$$\varepsilon_2(\omega) = \frac{4e^2\pi^2}{\omega^2 m^2} \sum_{i,j} \int |\langle i|M|j\rangle|^2 f_i(1-f_i) X\delta(E_f - E_i - \hbar\omega) d^3k \quad (2)$$

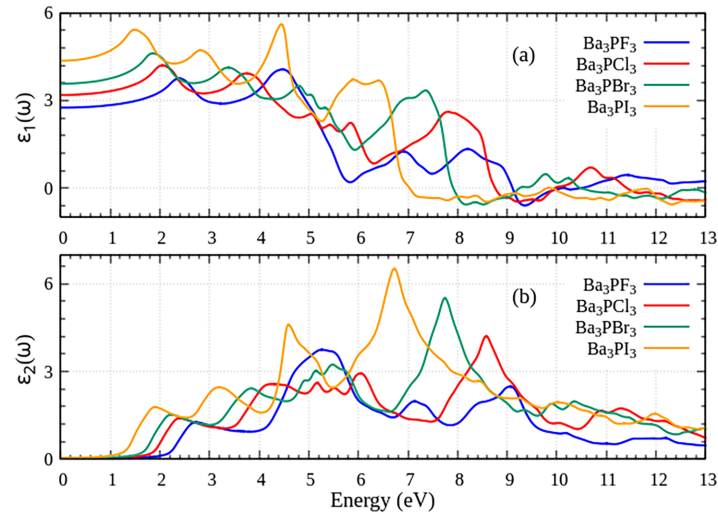
here, the dipole matrix is represented by the symbol  $M$ , where  $i$  and  $j$  denote the initial state (valence band) and the final state (conduction band). The function  $f_i$  is the Fermi distribution function associated with the valence band. The expression  $\delta(E_f - E_i - \hbar\omega)$  indicates the energy difference between the valence band and conduction band at a specific  $k$  point due to the absorption of a photon with an energy of  $\hbar\omega$ . The other variables are as follows:  $e$  = electron charge,  $\omega$  = angular frequency of the photon,  $\hbar$  = reduced Planck's constant (where  $\hbar = h/2\pi$ ), and  $m$  = electron mass. To calculate  $\varepsilon_1(\omega)$ , the Kramers-Kronig transformation is used [37], as given below:

$$\varepsilon_1(\omega) = 1 + \frac{2}{\pi} P \int_0^\infty \frac{\omega' \varepsilon_2(\omega')}{\omega'^2 - \omega^2} d\omega' \quad (3)$$

The letter  $P$  denotes the integral's principal value. To analyse how the compounds we are studying respond to incoming light, we have measured their dielectric function, denoted as  $\varepsilon(\omega)$ .

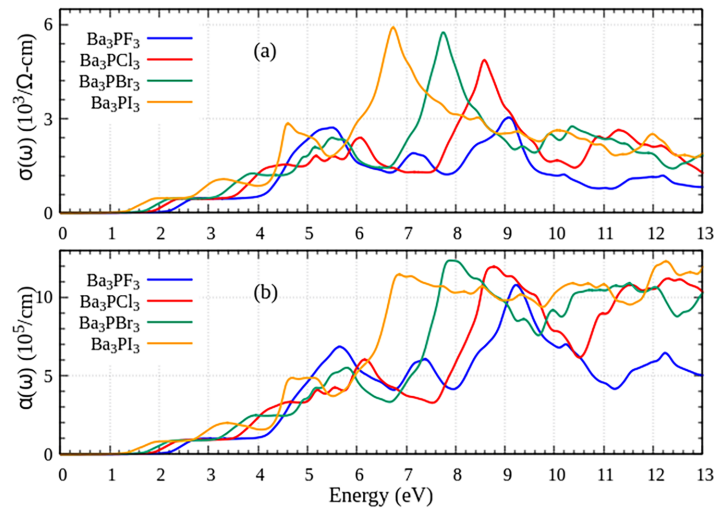
Fig. 4a,b correspondingly demonstrates the real and imaginary parts of the  $\varepsilon(\omega)$  as a function of the incoming EM energy. The static value of the  $\varepsilon_1(\omega)$ , i.e.,  $\varepsilon_1(0)$ , also called the dielectric constant for the given sample, occurs at the low-end of our measurements. From the measurements, it can be observed that the  $\varepsilon_1(0)$  was measured to be 2.75, 3.18, 3.57, and 4.35, respectively, for  $\text{Ba}_3\text{PF}_3$ ,  $\text{Ba}_3\text{PCl}_3$ ,  $\text{Ba}_3\text{PBr}_3$ , and  $\text{Ba}_3\text{PI}_3$ , respectively. Therefore, we have observed that as we increase the atomic size of the element in the X-position, the dielectric constant values also increase. This behaviour arises from an increase in polarizability, which increases the involvement of the lattice to the overall polarization. In particular,  $\text{Ba}_3\text{PI}_3$  exhibits the highest  $\varepsilon_1(0)$ , indicating strong polarizability and a high optical permittivity. The negative values of  $\varepsilon_1(\omega)$  after 7.06 eV of the compounds in the ultraviolet (UV) region indicate a plasmonic or metallic-like response, where the induced polarization oscillates out of phase with the incident EM field. This behaviour suppresses light propagation and results in strong reflection, associated with plasma resonance and intense interband electronic transitions. Fig. 4b represents the computed threshold energy values of  $\varepsilon_2(\omega)$  for  $\text{Ba}_3\text{PX}_3$  ( $X = \text{F, Cl, Br, I}$ ).

Br, and I).  $\text{Ba}_3\text{PF}_3$  does not exhibit any sharp peak in  $\epsilon_2(\omega)$ ; its maximum value occurs at 5.26 eV, after which it decreases, followed by a secondary increase and subsequent decline after reaching another maximum at 9.08 eV. Whereas for  $\text{Ba}_3\text{PCl}_3$ ,  $\text{Ba}_3\text{PBr}_3$ , and  $\text{Ba}_3\text{PI}_3$ ,  $\epsilon_2(\omega)$  shows sharp peaks at 8.59, 7.74, and 6.73 eV, correspondingly. The main peak in  $\epsilon_2(\omega)$  occurs in 5.26–8.59 eV energy range, indicating strong interband optical transitions in this region. Among all compounds,  $\text{Ba}_3\text{PI}_3$  exhibits the highest intensity peak in  $\epsilon_2(\omega)$ , suggesting enhanced optical absorption in the near-UV region.



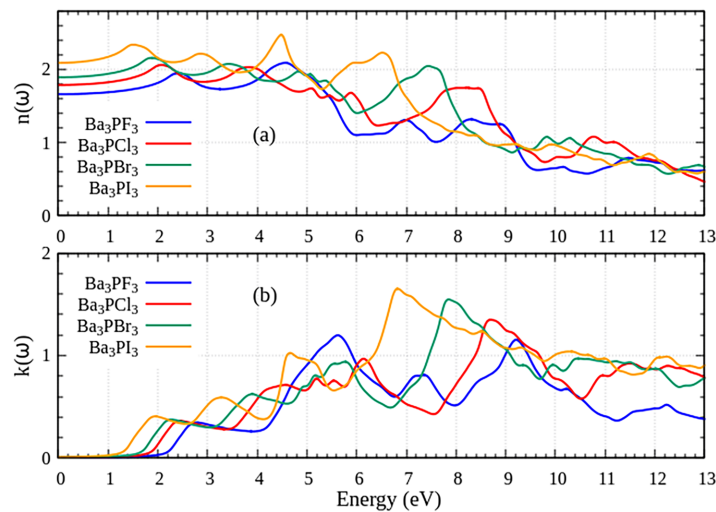
**Figure 4:** Variation in (a)  $\epsilon_1(\omega)$  and (b)  $\epsilon_2(\omega)$  of  $\text{Ba}_3\text{PX}_3$  ( $X = \text{F}, \text{Cl}, \text{Br}, \text{I}$ ) compositions with incident EM energy.

Fig. 5a presents curves for  $\sigma(\omega)$ , which represents the real part of optical conductivity for compositions studied. For all four compounds,  $\sigma(\omega)$  has the highest values at energy of 9.08 eV for  $\text{Ba}_3\text{PF}_3$ , 8.59 eV for  $\text{Ba}_3\text{PCl}_3$ , 7.74 eV for  $\text{Ba}_3\text{PBr}_3$ , and 6.73 eV for  $\text{Ba}_3\text{PI}_3$ . As the anion changes from F to I, there is a general decrease in the corresponding energy value for peak values of  $\sigma(\omega)$ , indicating that the compounds have been further shifted towards lower photon energies. The pattern exhibited by  $\sigma(\omega)$  is similar to that of the  $\alpha(\omega)$  (Fig. 5b) and  $\epsilon_2(\omega)$  (Fig. 4b), indicating that  $\text{Ba}_3\text{PI}_3$  and  $\text{Ba}_3\text{PBr}_3$  have higher optical conductivity overall than  $\text{Ba}_3\text{PF}_3$  and  $\text{Ba}_3\text{PCl}_3$ . Fig. 5b presents the optical absorption profiles of  $\text{Ba}_3\text{PX}_3$  compounds. The absorption coefficient ( $\alpha(\omega)$ ) defines the efficiency of light absorption, is a key parameter in evaluating solar energy conversion efficiency [38–40]. The value of  $\alpha(\omega)$  was found to be the highest for  $\text{Ba}_3\text{PBr}_3$  at 7.88 eV. After that, the peak value of  $\alpha(\omega)$  for  $\text{Ba}_3\text{PCl}_3$  and  $\text{Ba}_3\text{PI}_3$  was observed as 8.78 eV and 6.84 eV, respectively.  $\alpha(\omega)$  for  $\text{Ba}_3\text{PF}_3$  was found to be the lowest compared to  $\text{Ba}_3\text{PCl}_3$ ,  $\text{Ba}_3\text{PBr}_3$ , and  $\text{Ba}_3\text{PI}_3$ . The absorption peak of  $\text{Ba}_3\text{PF}_3$  was found at 9.21 eV. The strong peaks in the  $\epsilon_2(\omega)$ ,  $\sigma(\omega)$  and  $\alpha(\omega)$  spectra are mainly due to interband transitions of the form P-p/X-p  $\rightarrow$  Ba-d. The fact that these peaks shift to lower energies, for variation from  $\text{Ba}_3\text{PF}_3$  to  $\text{Ba}_3\text{PI}_3$ , is in line with the gradually narrowing band gap and the increased P-p hybridization. The absorption edge of each compound is observed to be very similar to the values of the calculated direct band gap, which confirms the nature of direct transition in these materials. The systematic red-shift of the absorption edge of  $\text{Ba}_3\text{PF}_3$  to  $\text{Ba}_3\text{PI}_3$  is correlated with the decrease in band gap, and the heavier halide compositions absorb the visible light better. Increased polarizability and orbital overlap contribute to the increased intensity of optical peaks in  $\text{Ba}_3\text{PI}_3$ . These observations are consistent with previously reported halide perovskite systems, where band gap reduction, enhanced P-p/P-d hybridization, and increased polarizability with heavier halides lead to a systematic red-shift and stronger optical response [22,35].



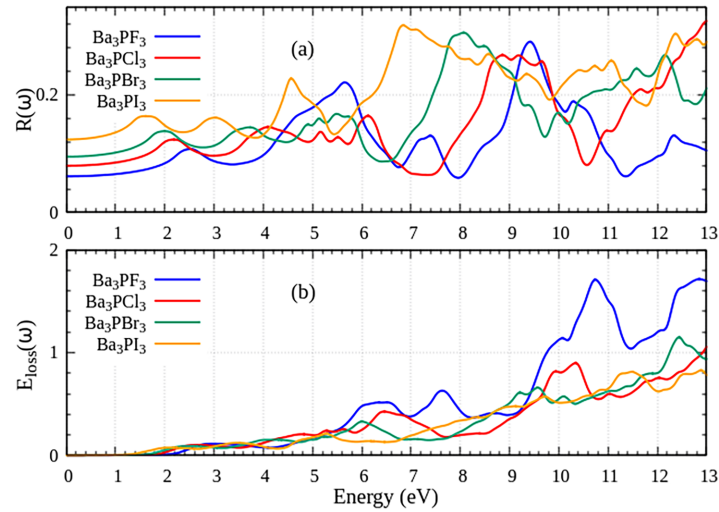
**Figure 5:** Variation in (a)  $\sigma(\omega)$  and (b)  $\alpha(\omega)$  of  $\text{Ba}_3\text{PX}_3$  ( $X = \text{F, Cl, Br, I}$ ) compositions with incident EM energy.

Refractive index  $n(\omega)$  and extinction coefficient  $k(\omega)$  of  $\text{Ba}_3\text{PX}_3$  compounds where  $X = \text{F, Cl, Br}$  and  $\text{I}$  are shown in Fig. 6a,b, respectively. The static value of  $n(\omega)$  i.e.,  $n(0)$ , for  $\text{Ba}_3\text{PX}_3$  compounds is 1.65, 1.78, 1.89 and 2.08, respectively, which increases with decreasing wavelength in the infrared and visible sections until it reaches a peak value of 2.09, 2.06, 2.15 and 2.47 at around 4.56, 2.08, 1.89 and 4.48 eV for  $\text{Ba}_3\text{PF}_3$ ,  $\text{Ba}_3\text{PCL}_3$ ,  $\text{Ba}_3\text{PBR}_3$ , and  $\text{Ba}_3\text{PI}_3$ , respectively. Following this point, the value of  $n(\omega)$  continues to decrease; however, it will never be negative, which indicates that the compounds will still be transparent as the incident EM energy increases.  $\text{Ba}_3\text{PI}_3$  had the highest value of  $n(0)$ , which indicates that it will bend light more and allow for more potential applications with high refractive optical coatings. In Fig. 6b, the  $k(\omega)$  value was found to be highest at 6.82 eV for  $\text{Ba}_3\text{PI}_3$ . The maximum  $k(\omega)$  value was found to be at 7.85 eV for  $\text{Ba}_3\text{PBR}_3$  and 8.69 eV for  $\text{Ba}_3\text{PCL}_3$ . The value of  $k(\omega)$  for  $\text{Ba}_3\text{PF}_3$  was found to be the lowest as compared to  $\text{Ba}_3\text{PBR}_3$ ,  $\text{Ba}_3\text{PCL}_3$ , and  $\text{Ba}_3\text{PI}_3$ . The extinction coefficient peak of  $\text{Ba}_3\text{PF}_3$  was found at 5.62 eV.



**Figure 6:** Variation in (a)  $n(\omega)$  and (b)  $k(\omega)$  of  $\text{Ba}_3\text{PX}_3$  ( $X = \text{F, Cl, Br, I}$ ) compositions with incident EM energy.

Reflectivity  $R(\omega)$ , which plays a crucial role in computing the reflected energy at interfaces, is presented in Fig. 7a. The zero-frequency reflectivity values are found to be 6.14% for  $\text{Ba}_3\text{PF}_3$ , 7.94% for  $\text{Ba}_3\text{PCl}_3$ , 9.48% for  $\text{Ba}_3\text{PBr}_3$ , and 12.40% for  $\text{Ba}_3\text{PI}_3$ . All compounds exhibit low reflectivity in the infrared and visible sections, demonstrating good transparency, while a noteworthy growth in reflectivity is found in the UV region, particularly for  $\text{Ba}_3\text{PI}_3$ , due to enhanced polarizability. In Fig. 7b, the peaks of the energy loss function  $E_{\text{loss}}(\omega)$  for cubic  $\text{Ba}_3\text{PX}_3$  structures are found in between 10–13 eV energy. These pronounced peaks correspond to plasma resonance arising from the collective oscillations of valence electrons, confirming the plasmonic behaviour of the materials in the UV region.  $E_{\text{loss}}(\omega)$  defines the energy dissipated by fast electrons passing through the compound, rather than by direct interband transitions. The onset values of the dielectric constant, reflectivity, and refractive index for all the studied compounds have been mentioned in Table 3.



**Figure 7:** Variation in (a)  $R(\omega)$  and (b)  $E_{\text{loss}}(\omega)$  of  $\text{Ba}_3\text{PX}_3$  ( $X = \text{F, Cl, Br, I}$ ) compositions with incident EM energy.

**Table 3:** Optical characteristics of  $\text{Ba}_3\text{PX}_3$  ( $X = \text{F, Cl, Br, I}$ ) compositions.

Materials	$\epsilon_1(0)$	$R(0)$ (%)	$n(0)$
$\text{Ba}_3\text{PF}_3$	2.75, 6.78 <sup>a</sup> , 5.17 <sup>b</sup>	6.14	1.65
$\text{Ba}_3\text{PCl}_3$	3.18, 5.02 <sup>a</sup>	7.94	1.78
$\text{Ba}_3\text{PBr}_3$	3.57, 5.18 <sup>a</sup>	9.48	1.89
$\text{Ba}_3\text{PI}_3$	4.35, 5.57 <sup>a</sup>	12.40	2.08

Note: <sup>a</sup>Reference [22]; <sup>b</sup>Reference [35].

While the optical spectra of  $\text{Ba}_3\text{PX}_3$  compounds are presented over a broad energy range extending into the UV region to capture all possible interband transitions, their photovoltaic relevance is primarily associated with the visible region of the spectrum ( $\approx 1.6\text{--}3.2$  eV). In contrast, spectral features in the higher-energy UV region ( $>3.2$  eV) arise from deeper interband transitions and are less relevant for solar energy harvesting. In the visible region,  $\text{Ba}_3\text{PBr}_3$  and  $\text{Ba}_3\text{PI}_3$  exhibit comparatively stronger absorption coefficients and higher dielectric response, indicating efficient photon harvesting within the solar spectral range. The relatively low reflectivity and moderate refractive indices further suggest favorable light coupling and reduced optical losses, which are advantageous for thin-film photovoltaic architectures where the absorber thickness is limited. Owing to their direct bandgap nature and strong visible-light absorption, efficient charge generation can be achieved at sub-micrometer thicknesses. Additionally, all optical properties reported here

correspond to the isotropic response of the cubic crystal structure, as expected for the Pm-3m symmetry, and therefore do not exhibit directional anisotropy. This isotropic optical behavior is beneficial for device fabrication, as it ensures uniform light absorption irrespective of crystal orientation.

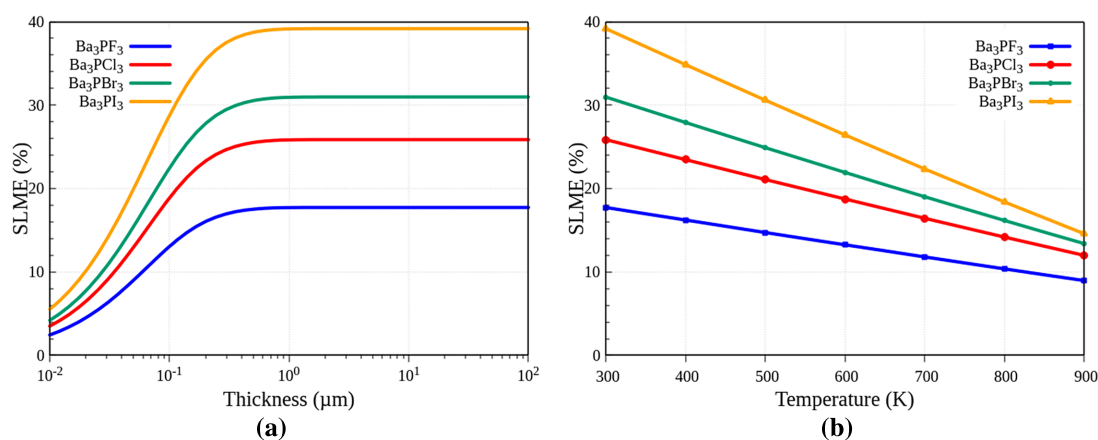
It should be noted that the current optical computations are based on the independent-particle DFT approach and do not include excitonic effects arising from electron–hole interactions. In practical substances, these effects may cause some small changes in the absorption onset and peak intensities, especially around the band edge. However, to perform comparative analysis across the  $\text{Ba}_3\text{PX}_3$  series, the present method provides reliable trends in optical response. Similar approaches relating electronic structure to optical transitions have been reported in recent studies on semiconductor materials [41].

### 3.4 Theoretical Power Conversion Efficiency

It is important to estimate the theoretical efficiency of a material prior to screening it for photovoltaic absorber applications. Absorber thickness, intrinsic defects, carrier recombination dynamics, temperature, and optical absorption characteristics strongly govern the efficiency of a photovoltaic device. A single-junction solar cell is reflected by the Spectroscopic Limited Maximum Efficiency (SLME) [30] as a complete and trustworthy indicator that assesses the upper-limit efficiency of both the absorber and the solar cell, including realistic absorption spectra and bandgap characteristics of the absorber. It follows that the values of SLME should be treated as theoretical screening limits rather than practically achievable device efficiencies, because they do not account for non-radiative recombination, defects, and interface losses. The SLME framework assumes radiative recombination as the dominant recombination mechanism, representing an upper theoretical limit for photovoltaic efficiency.

We have shown the SLME as a function of the absorber layer thickness of the studied  $\text{Ba}_3\text{PX}_3$  ( $X = \text{F}, \text{Cl}, \text{Br}, \text{I}$ ) compositions at 300 K in Fig. 8a. It is evident from the plot that the SLME rises rapidly as the film thickness increases within the sub-micrometer range, then reaches a saturation limit at thicker films. In particular,  $\text{Ba}_3\text{PF}_3$  has the lowest efficiency of 2.43% at very thin layers, 17.73% at close to 1  $\mu\text{m}$ , and then stabilizes. This thickness ( $\sim 1 \mu\text{m}$ ) is representative of typical thin-film photovoltaic absorbers and is therefore considered a practical reference for efficiency evaluation.  $\text{Ba}_3\text{PCl}_3$  and  $\text{Ba}_3\text{PBr}_3$ , however, have a higher rate of 25.86% and 30.97%, respectively. The maximum performance is observed in  $\text{Ba}_3\text{PI}_3$ , where SLME rises sharply and stabilizes at 39.17%. This tendency shows that the replacement of the halides with heavier anions increases optical absorption and the theoretical efficiency that can be achieved. Unlike idealized models, the SLME formalism incorporates the calculated absorption coefficient, thereby accounting for realistic absorption losses. The variation in SLME across the  $\text{Ba}_3\text{PX}_3$  series is therefore directly influenced by the computed absorption spectra, with higher absorption coefficients in  $\text{Ba}_3\text{PBr}_3$  and  $\text{Ba}_3\text{PI}_3$  contributing to their enhanced efficiency. The saturation behaviour at thickness above 1  $\mu\text{m}$  indicates that an additional increase in thickness is not significantly helpful in increasing photon absorption, as the optical path length is already long.

Fig. 8b plots the temperature dependence of the SLME for the same compounds, with a 1  $\mu\text{m}$  constant thickness of absorber. The decrease in the SLME with rising temperature from 300 to 900 K across all compositions is evident and shows that photovoltaic performance is highly sensitive to temperature. In the case of  $\text{Ba}_3\text{PF}_3$ , the efficiency decreases to 17.73% at 300 K and 8.95% at 900 K. Likewise, it decreases to 11.97% and 13.39% for  $\text{Ba}_3\text{PCl}_3$  and  $\text{Ba}_3\text{PBr}_3$ , respectively. The strongest decrease is seen in the case of  $\text{Ba}_3\text{PI}_3$ , with the SLME at 300 K being 39.17%, followed by 14.57% at 900 K. This decrease may be explained by increased carrier recombination and by the thermally induced narrowing of the bandgap at high temperatures.



**Figure 8:** (a) SLME as a function of absorption layer thickness at 300 K, and (b) SLME as a function of temperature for optimal thickness of absorption layer.

Ba<sub>3</sub>PI<sub>3</sub> is the most efficient according to theory, though it is also relatively more sensitive to temperature changes than the other compounds being studied. It is worth noting that SOC, particularly relevant for Ba<sub>3</sub>PI<sub>3</sub>, may decrease the band gap and shift the onset of absorption, which could lead to a minor overestimation of the calculated values of the SLME. The total enhancement of SLME of F- to I-based compounds is indicative of the fact that the quality of absorber and optical response is enhanced with the heavier halide substitution. The materials are promising photovoltaic absorbers at the materials-screening level due to their relatively high values of SLME, especially in the case of Ba<sub>3</sub>PBr<sub>3</sub> and Ba<sub>3</sub>PI<sub>3</sub>.

### 3.5 Elastic Properties

The systematic investigation of the elastic characteristics of Ba<sub>3</sub>PX<sub>3</sub> (X = F, Cl, Br, I) compositions is done to realize their mechanical stability, bonding properties, anisotropy in elasticity, and ductile properties. The computed elastic parameters have been listed in Tables 4 and 5. Elastic constants in a single crystal and the mechanical parameters derived were obtained. These parameters define how the material responds to the external pressure and give an idea of the bonding nature of the material, directional anisotropic nature, and ductile nature. A cubic crystal is considered to be stable to elastic deformations when  $C_{11} - C_{12} > 0$ ;  $C_{44} > 0$ ;  $C_{11} + 2C_{12} > 0$  and  $C_{11} > 0$  [42]. Here, one defines Cauchy pressure as  $C'' = C_{12} - C_{44}$ . When the value is positive, this is a ductile behavior and when it is negative, this is a brittle behaviour [43]. Elastic constants, especially,  $C_{11}$ ,  $C_{12}$ , and  $C_{44}$  are important to explain how a crystal reacts to external forces. The elastic constants of the Ba<sub>3</sub>PX<sub>3</sub> family show non-monotonic variation based on competing influences from lattice expansion, bond strengths and hybridization of orbitals. As the halides increase in ionic radius, interatomic interaction is weakened; however, the character of P-X bonds and their associated local structural environments cause a non-linear response of these bonds to elastic deformations.  $C_{11}$  is usually the largest of the elastic constants, and is associated with longitudinal strain response in the primary crystallographic directions, whereas  $C_{12}$  and  $C_{44}$  are associated with compressive and shear effects, respectively. Even though  $C_{11}$  reaches its maximum with Ba<sub>3</sub>PF<sub>3</sub> and its minimum with Ba<sub>3</sub>PI<sub>3</sub>, its change across the Ba<sub>3</sub>PX<sub>3</sub> series is not monotonic.  $C_{11}$  and  $C_{44}$  vary non-monotonically, whereas  $C_{12}$  varies monotonically within the Ba<sub>3</sub>PX<sub>3</sub> series; that is, the resistance increases monotonically with the increasing size of the halogen atom. The obtained values satisfy the Born stability criteria, indicating that the considered compositions are mechanically stable. The convergence of the elastic constants was validated by carefully controlling for computational parameters,

while the optimized structures represent the stable energy minima; therefore, the trends are intrinsic and not a result of numerical artifacts.

**Table 4:** Calculated elastic constants ( $C_{11}$ ,  $C_{12}$  and  $C_{44}$  in GPa); bulk Modulus (B in GPa); shear modulus (G in GPa); B/G Ratio; tetragonal shear modulus ( $C'$ ); Cauchy's pressure ( $C''$ ); Poisson's ratio ( $\eta$ ), and Young's modulus (Y in GPa) of  $Ba_3PX_3$  (X = F, Cl, Br, I) at ambient conditions.

Compound	$C_{11}$	$C_{12}$	$C_{44}$	B	G	B/G	$C'$	$C''$	$\eta$	Y			
$Ba_3PF_3$	70.25,	12.70,	12.19,	31.89,	17.34,	1.84,	28.77	0.51	0.27,	44.03,			
	54.10 <sup>a</sup> ,	1.87 <sup>a</sup> ,	15.67 <sup>a</sup> ,	19.28 <sup>a</sup> ,	19.25 <sup>a</sup> ,	1.00 <sup>a</sup> ,					0.12 <sup>a</sup> ,	43.34 <sup>a</sup> ,	
	73.89 <sup>b</sup>	12.84 <sup>b</sup>	15.40 <sup>b</sup>	33.19 <sup>b</sup>	20.33 <sup>b</sup>	1.63 <sup>b</sup>					0.25 <sup>b</sup>	50.64 <sup>b</sup>	
$Ba_3PCL_3$	63.60,	10.94,	17.29,	28.49,	20.47,	1.39,	26.33	-6.35,	0.21,	49.55,			
	64.11 <sup>a</sup> ,	9.56 <sup>a</sup> ,	11.64 <sup>a</sup> ,	27.74 <sup>a</sup>	16.50 <sup>a</sup>	1.68 <sup>a</sup> ,					-0.6 <sup>c</sup>	0.25 <sup>a</sup> ,	41.30 <sup>a</sup>
	70.37 <sup>c</sup>	10.34 <sup>c</sup>	10.97 <sup>c</sup>			1.82 <sup>c</sup>					0.27 <sup>c</sup>		
$Ba_3PBr_3$	65.24,	6.98,	13.07,	26.40,	18.13,	1.46,	29.13	-6.09	0.22,	44.25,			
	61.28 <sup>a</sup>	8.87 <sup>a</sup>	10.38 <sup>a</sup>	26.34 <sup>a</sup>	15.20 <sup>a</sup>	1.73 <sup>a</sup>					0.26 <sup>a</sup>	38.24 <sup>a</sup>	
$Ba_3PI_3$	58.85,	5.60,	3.74,	23.35,	9.30,	2.51,	26.62	1.86	0.32,	24.62,			
	55.03 <sup>a</sup>	7.04 <sup>a</sup>	8.27 <sup>a</sup>	23.03 <sup>a</sup>	12.89 <sup>a</sup>	1.79 <sup>a</sup>					0.26 <sup>a</sup>	32.59 <sup>a</sup>	

Note: <sup>a</sup>Reference [22]; <sup>b</sup>Reference [35]; <sup>c</sup>Reference [34].

**Table 5:** Computed Zener anisotropic index ( $A_Z$ ); Kleinman parameter ( $\zeta$ ); Lamé's coefficients ( $\lambda$  and  $\mu$ ); longitudinal, transverse, average sound velocities ( $v_l$ ,  $v_t$ , and  $v_m$  in m/s) and Debye temperature ( $\theta_D$  in K) of  $Ba_3PX_3$  (X = F, Cl, Br, I) materials at ambient conditions.

Compound	$A_Z$	$\zeta$	$\lambda$	$\mu$	$v_l$	$v_t$	$v_m$	$\theta_D$
$Ba_3PF_3$	0.42	0.33	20.33	17.34	3802.80	2134.94	2375.80	253.06
$Ba_3PCL_3$	0.66	0.32, 0.32 <sup>a</sup>	14.84	20.47	4018.50	2434.29	2690.37	268.96
$Ba_3PBr_3$	0.45	0.26	14.31	18.13	3569.88	2137.42	2364.98	230.29
$Ba_3PI_3$	0.14	0.24	17.15	9.30	2910.21	1484.06	1662.86	155.26

Note: <sup>a</sup>Reference [34].

The elastic parameters of a given material can be used to compute the bulk modulus (B) and shear modulus (G) of that material using the Voigt, Reuss, and Hill (VRH) approximation. In the case of a cubic structure, the bulk modulus computed by the Voigt approximation and the shear modulus computed by the Reuss approximation are the following [44,45].

$$B_V = B_R = \left( \frac{C_{11} + 2C_{12}}{3} \right) \quad (4)$$

$$G_V = \left( \frac{C_{11} - C_{12} + 3C_{44}}{5} \right) \quad (5)$$

$$G_R = \frac{5(C_{11} - C_{12})C_{44}}{4C_{44} + 3(C_{11} - C_{12})} \quad (6)$$

The actual values of the moduli, according to Hill [46], are calculated by the arithmetical mean of two separate moduli computed using the Voigt and Reuss approximations:

$$B = \left( \frac{B_V + B_R}{2} \right) \quad (7)$$

And

$$G = \left( \frac{G_V + G_R}{2} \right) \quad (8)$$

The bulk modulus (B) indicates the resistance of a specimen to uniform compression, while the shear modulus (G) indicates its stiffness against shear deformation. In the Ba<sub>3</sub>PX<sub>3</sub> series, the values of these two moduli decreased from Ba<sub>3</sub>PF<sub>3</sub> (B = 31.89 GPa, G = 17.34 GPa) to Ba<sub>3</sub>PI<sub>3</sub> (B = 23.35 GPa, G = 9.3 GPa). This decrease indicates that the presence of heavy halide ions decreases the internal bond strength of the compounds, making them relatively more flexible. Therefore, Ba<sub>3</sub>PF<sub>3</sub> was found to be the most rigid, and Ba<sub>3</sub>PI<sub>3</sub> was found to be the most flexible. The brittleness or ductility of a substance can be judged by Pugh's ratio (B/G). If this ratio is greater than 1.75, the material is considered ductile, otherwise brittle. This ratio was found to be greater than 1.75 for Ba<sub>3</sub>PF<sub>3</sub> and Ba<sub>3</sub>PI<sub>3</sub>, indicating their ductile nature. In contrast, Ba<sub>3</sub>PCL<sub>3</sub> and Ba<sub>3</sub>PBR<sub>3</sub> had values below 1.75, making them moderately brittle, consistent with previous calculations [47].

Shear constant (C') and Cauchy Pressure (C'') can be calculated as follows [48]:

$$C' = \left( \frac{C_{11} - C_{12}}{2} \right) \quad (9)$$

$$C'' = C_{12} - C_{44} \quad (10)$$

Analysis of Cauchy pressure (C'') also gives the same conclusion that while its positive value shows ductility, a negative value shows brittleness. Positive values were obtained for Ba<sub>3</sub>PF<sub>3</sub> (0.51 GPa) and Ba<sub>3</sub>PI<sub>3</sub> (1.86 GPa), which confirm their ductility. On the other hand, negative values for Ba<sub>3</sub>PCL<sub>3</sub> and Ba<sub>3</sub>PBR<sub>3</sub> were found to be -6.35 and -6.09 GPa, respectively, which makes them brittle in nature.

The Poisson's ratio (η) also remained within a stable range for all compounds, indicating mechanical stability and a predominantly ionic bonding character. Young's modulus (Y) is a fundamental indicator of a material's stiffness, representing its response to longitudinal strain. A higher value of Y corresponds to greater stiffness of the material. It can be calculated using the relation:

$$Y = \frac{9BG}{3B + G} \quad (11)$$

For the Ba<sub>3</sub>PX<sub>3</sub> (X = F, Cl, Br, I) compositions, the Young's modulus varies from 24.62 GPa for Ba<sub>3</sub>PI<sub>3</sub> to 49.55 GPa for Ba<sub>3</sub>PCL<sub>3</sub>, which indicates that Ba<sub>3</sub>PCL<sub>3</sub> possesses higher stiffness, while Ba<sub>3</sub>PI<sub>3</sub> exhibits greater elasticity. Additionally, values such as the Zener ratio (A<sub>Z</sub>) and the Kleinman parameter (ζ) were used to understand the isotropy and bonding behaviour of the compounds. A substance is considered isotropic when the Zener ratio is close to 1. As shown in Table 5, all compounds exhibit elastic anisotropy (A<sub>Z</sub> ≠ 1), with the degree of anisotropy varying non-monotonically across the halide series. With an anisotropy value nearest to unity (A<sub>Z</sub> = 0.66), Ba<sub>3</sub>PCL<sub>3</sub> has a relatively more isotropic mechanical behavior, which is advantageous to the homogeneous distribution of strain and minimized formation of defects in thin-film devices. Ba<sub>3</sub>PI<sub>3</sub> (A<sub>Z</sub> = 0.14) on the other hand exhibits the greatest level of anisotropy, which implies a stronger directional-dependent mechanical behavior that could affect the distribution of stress in the film growth. The rest of the compounds are moderately anisotropic indicating a balanced mechanical response that is appropriate to prepare a device.

The first Lamé constant ( $\lambda$ ) is related to the degree of material compressibility, while the second Lamé constant ( $\mu$ ) is indicative of the degree of shear stiffness in a particular material. These coefficients can be defined by the following expressions using the Young's modulus and the Poisson's ratio of the considered compositions:

$$\lambda = \frac{Y\eta}{(1+\eta)(1-2\eta)} \quad (12)$$

$$\mu = \frac{Y}{2(1+\eta)} \quad (13)$$

Table 5 reports the calculated values of  $\lambda$  and  $\mu$  for each of the investigated compositions. Only positive values of  $\lambda$  and  $\mu$  exist for all compounds, as presented in Table 5. The positive value of  $\lambda$  and  $\mu$  for each composition indicates that they possess mechanical stability, while variations in  $\mu$  reflect differences in shear resistance across the  $\text{Ba}_3\text{PX}_3$  series.

The Debye temperature ( $\theta_D$ ) is a key thermal property that is correlated to many different physical properties of a material, including the melting point and specific heat of the materials. The  $\theta_D$  can be expressed as [49]:

$$\theta_D = \frac{h}{k} \left[ \left( \frac{3n}{4\pi} \right) \left( \frac{N_A \rho}{M} \right) \right]^{1/3} v_m \quad (14)$$

where,  $v_m$  is the average sound velocity,  $h$  is Planck's constant,  $k$  is Boltzmann's constant,  $n$  is the number of atoms in unit cell of a compound,  $N_A$  is Avogadro's number,  $\rho$  is compound density, and  $M$  is molecular weight of a compound. In general, the higher the value of  $v_m$  or  $\theta_D$ , the greater the strength of the bond. In polycrystalline materials, the average sound velocity,  $v_m$ , is defined by [50]:

$$v_m = \left[ \frac{1}{3} \left( \frac{2}{v_t^3} + \frac{1}{v_l^3} \right) \right]^{-1/3} \quad (15)$$

where  $v_t$  and  $v_l$  are transverse and longitudinal sound velocities, which can be defined by means of elastic constants (B and G) using Navier's equation, as below:

$$v_t = \sqrt{\frac{G}{\rho}} \quad (16)$$

$$v_l = \sqrt{\frac{3B + 4G}{3\rho}} \quad (17)$$

The Debye temperature ( $\theta_D$ ), derived from average sound velocities, decreases systematically across the halide series, from 253.06 K ( $\text{Ba}_3\text{PF}_3$ ) to 155.26 K ( $\text{Ba}_3\text{PI}_3$ ). Such a decrease is an indication of weaker interatomic forces and higher atomic masses in the iodide member, which results in lower-lattice vibration frequencies. Altogether, the mechanical study proves that the  $\text{Ba}_3\text{PX}_3$  compounds are elastically stable, with tuneable stiffness and ductility based on the halide component. The non-linear change in elastic parameters is observed to emphasize how halide substitution alters bond lengths, elastic anisotropy, and lattice dynamics in a complex manner.

The computed elastic properties indicate that  $\text{Ba}_3\text{PX}_3$  have mechanical properties that are compatible with thin-film photovoltaic fabrication. The medium bulk and shear moduli indicate that it is mechanically sound enough to resist thermal cycling and residual stress in the deposits related to sputtering or evaporation

operations or solution-based film growth. Specifically, the comparatively reduced stiffness and increased ductility of  $\text{Ba}_3\text{PI}_3$  suggest improved strain resistance, which is desirable for reducing crack formation and delamination in flexible or large area devices. On the other hand, the increased rigidity of  $\text{Ba}_3\text{PF}_3$  and  $\text{Ba}_3\text{PCl}_3$  can be advantageous when structural stability and integrity are required. The flexibility-rigidity trade-off created by substituting halides offers a convenient design parameter to customize  $\text{Ba}_3\text{PX}_3$  absorbers for desired photovoltaic and optoelectronic device architectures.

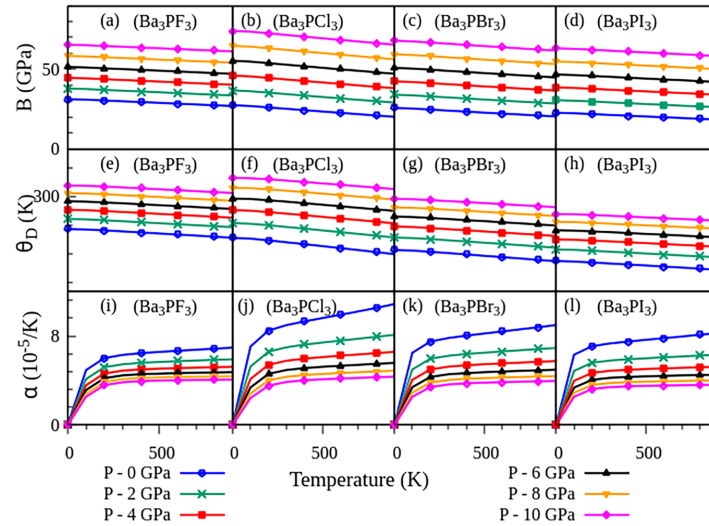
The determined elastic constants and mechanical parameters are reasonably consistent with previously determined values [22,34,35]. Minor discrepancies come as a result of the difference in the computational methods such as exchange-correlation functionals, k-point density, and structural optimization procedures. Notably, the uniform patterns that were identified throughout the  $\text{Ba}_3\text{PX}_3$  series confirms the strength and stability of the current set of computations.

### 3.6 Thermodynamic Properties under Temperature and Pressure

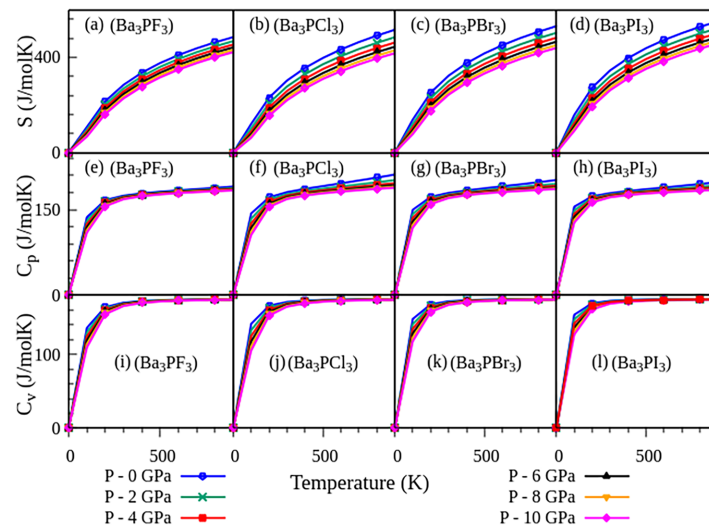
Using the Gibbs2 program [29], the thermodynamic parameters of the investigated compositions have been calculated. The thermodynamic properties were calculated for each of these compounds from 0 to 900 K, and the pressure effect calculations were done for the range 0–10 GPa based on the Quasiharmonic model. This approach accounts for volume-dependent phonon contributions on thermodynamic behavior and is widely used for studying temperature- and pressure-dependent properties of crystalline materials. Therefore, the obtained results provide reasonable representations of the thermal response and stability trends of the  $\text{Ba}_3\text{PX}_3$  compounds over a broad temperature range. At higher temperatures, particularly for softer compounds such as  $\text{Ba}_3\text{PI}_3$ , minor deviations may arise due to increased anharmonic phonon interactions; however, the overall trends remain well described within the quasi-harmonic framework. The thermodynamic behaviour of  $\text{Ba}_3\text{PX}_3$  ( $X = \text{F}, \text{Cl}, \text{Br}, \text{I}$ ) at varying temperatures and pressures is illustrated in Figs. 9 and 10, which include the bulk modulus ( $B$ ), the acoustic Debye temperature  $\Theta_D$ , the coefficient of thermal expansion ( $\alpha$ ), the entropy ( $S$ ), the specific heat at constant pressure ( $C_p$ ), and the specific heat at constant volume ( $C_v$ ). The bulk modulus (Fig. 9a–d) shows a monotonic change downward with temperature of all the compounds, and this implies that the lattice is softening as a result of progressive anharmonic vibrations of the atoms. Conversely, the applied pressure has a substantial positive effect on  $B$ , which indicates greater resistance to volume compression due to shorter interatomic distances. It is evident that there is a halogen-dependent trend with the stiffest being  $\text{Ba}_3\text{PF}_3$  and the softest being  $\text{Ba}_3\text{PI}_3$ , as the ionic radius increases and the strength of  $\text{PX}$  bonds between  $\text{F}$  and  $\text{I}$  weaken. The same applies to the  $\Theta_D$  (Fig. 9e–h), which declines with temperature since the phonons become soft, and also rises with pressure since phonons become hard. The progressively reduced  $\Theta_D$  values of heavier halogens are another indication that the predominant factors controlling lattice vibrations are atomic mass and bond strength. The thermal expansion coefficient (Fig. 9i–l) shows a rapid increase at low temperatures and a slow increase at high temperatures, which is a characteristic feature of anharmonic lattice dynamics. The pressure is inhibitory to  $\alpha$  in any system, with lattice compression limiting the anharmonic atomic mobility. The larger  $\alpha$  values of  $\text{Ba}_3\text{PBr}_3$  and  $\text{Ba}_3\text{PI}_3$  are explained by their lower bulk moduli and acoustic Debye temperatures, indicating greater lattice flexibility.

All compositions and pressures show a monotonically increasing entropy (Fig. 10a–d) as the temperature increases, which is evidence of the gradual filling up of phonon modes. Entropy decreases with pressure at a specified temperature; compression decreases the available vibrational phase space. The more massive systems have greater entropy, due to both lower characteristic phonon frequencies and a stronger vibrational disorder. Both  $C_v$  (Fig. 10e–h) and  $C_p$  (Fig. 10i–l) increase steeply at low temperatures and tend towards saturation at high temperatures, as observed in Debye theory. At higher temperatures,  $C_v$  approaches the

Dulong-Petit limit, verifying the classical vibrational behaviour of the lattice. That minor surplus of  $C_p$  over  $C_v$  rises with temperature and falls with pressure, and, in harmony with thermodynamic relations between heat capacity and thermal expansion, is negative. In this way, the calculated thermodynamic parameters reveal a strong interdependence among mechanical stiffness, lattice vibrations, and thermal response in  $Ba_3PX_3$  compounds. The lattice is stabilized by pressure, which improves stiffness, raises  $\Theta_D$ , and inhibits thermal expansion and entropy. The substitution of a halogen by a suitable element is found to be a viable path to achieving thermodynamic softness and tuning vibrational behaviour. This tunability is highly valuable when thermal and mechanical stability are required across different operating conditions.



**Figure 9:** Temperature dependence of the (a–d) bulk modulus ( $B$ ), (e–h) acoustic Debye temperature ( $\Theta_D$ ), and (i–l) linear thermal expansion coefficient ( $\alpha$ ) for (a,e,i)  $Ba_3PF_3$ , (b,f,j)  $Ba_3PCL_3$ , (c,g,k)  $Ba_3PBR_3$ , and (d,h,l)  $Ba_3PI_3$  under pressures ranging from 0 to 10 GPa.



**Figure 10:** Temperature-dependent (a–d) entropy ( $S$ ), (e–h) specific heat at constant pressure ( $C_p$ ), and (i–l) specific heat at constant volume ( $C_v$ ) for (a,e,i)  $Ba_3PF_3$ , (b,f,j)  $Ba_3PCL_3$ , (c,g,k)  $Ba_3PBR_3$ , and (d,h,l)  $Ba_3PI_3$  calculated under applied pressures up to 10 GPa.

The trend of the Grüneisen parameter ( $\gamma$ ) that is used to gauge anharmonicity and phonon-volume coupling is  $\text{Ba}_3\text{PF}_3 < \text{Ba}_3\text{PI}_3 < \text{Ba}_3\text{PBr}_3 < \text{Ba}_3\text{PCl}_3$ . This observation (non-mass-monotonic) suggests that the atomic mass of halides, bonding properties, and lattice rigidity are reflected in the values of  $\gamma$ . The relatively higher  $\gamma$  values of Br-based and Cl-based compounds indicate more phonon volume coupling due to intermediate electronegativity and more flexible P-X bonds prevailing over mass-driven phonon softening. In terms of devices, the moderate values of  $\gamma$  (1.49–2.17) suggest that there is enough anharmonicity to allow thermal expansion and strain throughout the manner of operation, and the lattice is stable. Such a balance helps maintain the thermal stability of the  $\text{Ba}_3\text{PX}_3$  material in photovoltaic applications under operating conditions. At 300 K, the computed thermodynamic parameters are represented in Table 6. The moderate values of  $\gamma$  also indicate that anharmonic effects remain within a manageable range, supporting the validity of the quasi-harmonic approximation over the studied temperature range, although minor deviations may arise at higher temperatures, particularly for softer compounds.

**Table 6:** Thermodynamic parameters of  $\text{Ba}_3\text{PX}_3$  (X = F, Cl, Br, I) at 300 K.

Compound	B (GPa)	$\theta_D$	$\alpha$ ( $10^{-5}/\text{K}$ )	S (J/mol-K)	$C_v$ (J/mol-K)	$C_p$ (J/mol-K)	$\gamma$
<b>Ba<sub>3</sub>PF<sub>3</sub></b>	29.89	226.67	6.31	284.21	169.72	174.52	1.49
<b>Ba<sub>3</sub>PCl<sub>3</sub></b>	25.16	203.80, 220.58 <sup>a</sup>	8.98	302.31	170.64	180.62	2.17
<b>Ba<sub>3</sub>PBr<sub>3</sub></b>	24.03	181.00	7.83	322.60	171.47	179.26	1.94
<b>Ba<sub>3</sub>PI<sub>3</sub></b>	21.33	159.13	7.34	344.74	172.17	179.05	1.82

Note: <sup>a</sup>Reference [34].

The computed thermodynamic parameters also contain significant information about the functional behaviour of  $\text{Ba}_3\text{PX}_3$  materials with respect to temperature. The reduction in B and  $\theta_D$  with increasing temperature indicates lattice softening that can lead to bandgap renormalization and increased electron-phonon interactions. The increase in carrier recombination and thermally induced broadening of the bandgap is consistent with the observed reduction in SLME at elevated temperatures. An increase in entropy and heat capacity indicates an increase in phonon population; increased phonons can affect carrier scattering and optical absorption close to the band edge. Heavier halide materials were observed to have lower  $\theta_D$ , which indicates that stronger vibrations within the lattice structure which can lead to greater temperature sensitivity in their electronic/optical responses.

#### 4 Conclusion

This study establishes clear structure-property-performance relationships in the  $\text{Ba}_3\text{PX}_3$  (X = F, Cl, Br, I) family and demonstrates the decisive role of halide substitution in governing their functional behaviour. The expansion of the lattice (6.02–6.87 Å) and softening of the crystal are reflected by a decrease in bulk modulus of 31.985 GPa ( $\text{Ba}_3\text{PF}_3$ ) to 23.166 GPa ( $\text{Ba}_3\text{PI}_3$ ) with the systematic increase in halide ionic size. The progressive narrowing of the bandgap, from 2.37 to 1.48 eV, associated with this mechanical softening, is due to greater polarizability and p-p hybridization. As a result, optical response increases significantly throughout the series as the dielectric constants increase from 2.75 to 4.35 and the refractive indices from 1.65 to 2.08, showing a stronger light-matter interaction in Br- and I-containing compounds. The trends are captured in the calculated photovoltaic screening performance, in which SLME increases sharply between  $\text{Ba}_3\text{PF}_3$  and  $\text{Ba}_3\text{PI}_3$  to 30.97% and 39.17%, respectively, at 300 K. The present analysis focuses on ideal bulk properties and provides a clear understanding of the intrinsic structure-property relationships in  $\text{Ba}_3\text{PX}_3$  compounds. Incorporating additional effects such as spin-orbit coupling, defect states, and interface phenomena in future

studies will further refine the assessment of their performance under practical device conditions, along with experimental validation.

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**Availability of Data and Materials:** The data that support the findings of this study are available from the corresponding author, Peeyush Kumar Kamlesh, upon reasonable request.

**Ethics Approval:** Not applicable

**Conflicts of Interest:** The authors declare no conflicts of interest.

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